

B 2100A (Form 2100A) (12/15)

UNITED STATES BANKRUPTCY COURT  
Southern District Of New York

In re: Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (SCC)  
(Jointly Administered)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

YIM, WAI CHI

Name of Transferee

The Hongkong and Shanghai Banking Corporation Limited

Name of Transferor

Name and Address where notices to transferee should be sent: FLAT B, 14/F, BLK 9,

Court Claim # (if known): [56670]

BRAEMAR HILL MANSION,  
31 BRAEMAR HILL RD,  
NORTH POINT,  
HONG KONG

Amount of Claim: See attached Evidence of Partial Transfer of Claim

Phone (852) 9511 2770  
Last Four Digits of Acct. #:

Date Claim Filed: 29 October 2009

Name and Address where transferee payments should be sent (if different from above):

Phone: +852 3604 7640  
Last Four Digits of Acct. #: 6253

Phone:

Last Four Digits of Acct. #:

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: 

Date: 16 JAN 2021

Transferee/Transferee's Agent

B 2100A (Form 2100A) (12/15)

Name: Title: *YIM WAI CHI*

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

**EVIDENCE OF PARTIAL TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

**THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED** ("Transferor"), does hereby certify that, as of 26 July 2021, it has unconditionally and irrevocably transferred and assigned to Mr Yim Wai Chi ("Transferee"), to the extent specified below (the "Transferred Claim"), Transferor's right, title and interest in and to Proof of Claim Number [56670] filed by or on behalf of Transferor against Lehman Brothers Holdings Inc., debtor in Case No. 08-13555 (SCC) in the United States Bankruptcy Court for the Southern District of New York, solely in the following amounts (the "Claim").

ISIN	Issuer	Guarantor	Principal Amount Transferred
[XS0215349357]	LEHMAN BROTHERS UK CAP FUND	LEHMAN BROTHERS HOLDINGS PLC	EUR 800,000

For the avoidance of doubt, no portion of the Claim has been transferred to the Transferee other than the Transferred Claim.

Transferor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing the assignment evidenced by this Evidence of Transfer of Claim as an unconditional assignment and Transferee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications in respect of the Transferred Claim to Transferee.

*26 Jan 2021*  
IN WITNESS WHEREOF, dated as of the 22 of November, 2020,

**THE HONGKONG AND SHANGHAI  
BANKING CORPORATION LIMITED**

By: Terry Lam

Name: Terry Lam  
Title: Head of Private Bank Operation  
Tel.: 36447500

Mr Yim Wai Chi

By: Yim Wai Chi

Name: Yim Wai Chi  
Title:  
Tel.: 95112770